

Protection for Persons in Care

Nova Scotia Department of Seniors and Long-Term Care

2021-22 (April – December)

May 2022



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Overview

The Protection for Persons in Care Act (PPCA) is a safeguard for patients or residents 16 years of age or older receiving care from a hospital under the Hospital's Act or a facility licensed under the Homes for Special Care Act. PPCA requires facility administrators and service providers, including staff and volunteers, to promptly report all allegations or instances of abuse. Members of the public may also report abuse under the Act by calling 1-800-225-7225.

After a report is received by the Protection for Persons in Care unit, a detailed review of each referral is done to determine if a more extensive investigation is necessary. If so, an investigator is assigned to conduct a formal investigation, which may involve referrals to police or a regulator. The investigator develops a report with conclusions, and there may be directives issued to the health facility that are to be complied with.

Types of Abuse

Under the *Protection for Persons in Care Act*, abuse is defined in section 3(1) of the Regulations as follows:

- 3(1)(a) the use of physical force resulting in pain, discomfort or injury, including slapping, hitting, beating, burning, rough handling, tying up or binding;
- 3(1)(b) mistreatment causing emotional harm, including threatening, intimidating, humiliating, harassing, coercing or restricting from appropriate social contact;
- 3(1)(c) the administration, withholding or prescribing of medication for inappropriate purposes;
- 3(1)(d) sexual contact, activity or behaviour between a service provider and a patient or resident;
- 3(1)(e) non-consensual sexual contact, activity or behaviour between patients or residents;
- 3(1)(f) the misappropriation or improper or illegal conversion of money or other valuable possessions;
- 3(1)(g) failure to provide adequate nutrition, care, medical attention or necessities of life without valid consent.

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Role of the Protection for Persons in Care Unit:

The role of the Protection for Persons in Care unit includes:

- Receiving reports of alleged abuse.
- Conducting a thorough review of all reports of alleged abuse to determine if the case falls under the scope of PPCA.
- Conducting extensive investigations of alleged abuse where there are reasonable grounds to believe abuse occurred.
- Issuing Ministerial directives to health facilities regarding operational and other measures
 considered necessary to protect all patients or residents from abuse, regardless of the
 results of the investigation.
- Monitoring health facilities actions taken to address any directives that are issued.
- Making referrals to professional regulators where appropriate.
- Providing education for the public, health care staff, and organizations about the Act and on the identification, reporting, prevention, and management of abuse and neglect.

Protection for Persons in Care Process

After receiving a report of alleged abuse, each referral is reviewed in detail. During this phase, information is gathered by contacting the complainant, health facility, and any other relevant individuals. The purpose of this is to check the reliability and validity of the intake information, confirm if the allegation falls within the scope of PPCA, and to gather and review detailed information to determine if there are reasonable grounds to believe that abuse has occurred, or is likely to occur.

If the Protection for Persons in Care unit determines there are reasonable grounds to believe a patient or resident has been abused, or is likely to be abused, and it has been determined that the case is most appropriately handled by the Department of Health and Wellness rather than another regulator, an investigator will carry out a more extensive investigation.

A decision to not conduct an investigation could be because: the referral is not within the scope of the PPCA, the facility has provided reasonable care and support to address the incident, the referral is more appropriately addressed by another jurisdiction (such as licensing), or the allegation has been referred to the police or a regulator.

The investigation process includes:

- Notifying the administrator, and relevant people involved in the incident (or the substitute decision maker) of the investigation.
- Gathering evidence by conducting interviews with the complainant, the person who has allegedly been abused (if they are able), the person who is alleged to have committed the abuse, health care staff, and any witnesses.
- Reviewing health records, facility based policies, procedures and processes, and provincial legislation and standards.
- Consulting with experts.
- Sharing a preliminary investigation report with the administrator of the health facility, and relevant people involved in the incident (or the substitute decision maker) to provide an opportunity to respond with additional information or clarification.

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 Sharing a final investigation report with the administrator of the health facility and relevant people involved in the incident which outlines the findings of the investigation and any directives that may be issued. Directives include measures necessary to protect all patients or residents from abuse, regardless of whether the investigation has determined that abuse occurred.

Additional information about Protection for Persons in Care can be found at: https://novascotia.ca/dhw/ppcact/

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Statistical Information

Historical Statistics:

| Fiscal Year | Calls Received (Total) | Calls Received (Hospitals) | Calls Received (LTC) | PPCA Investigations (Total) | PPCA Investigations (Hospitals) | PPCA Investigations (LTC) | Unfounded Cases of Abuse | Founded Cases of Abuse | Decision not yet determined |
|----------------|------------------------------|----------------------------------|----------------------------|-----------------------------------|---------------------------------------|---------------------------------|--------------------------------|------------------------------|-----------------------------------|
| 2016-17 | 312 | 97 | 215 | 54 | 5 | 49 | 21 | 33 | 0 |
| 2017-18 | 426 | 103 | 323 | 90 | 15 | 75 | 25 | 65 | 0 |
| 2018-19 | 513 | 149 | 364 | 74 | 16 | 58 | 40 | 34 | 0 |
| 2019-20 | 620 | 165 | 455 | 55 | 12 | 43 | 26 | 29 | 0 |
| 2020-21 | 534 | 143 | 391 | 51 | 11 | 40 | 20 | 31 | 0 |

Fiscal Year 2021-22 Quarterly Statistics

| | Cals Received (Total) | Calls Received (Hospitals) | Calls Received (LTC) | PPCA Investigations (Total) | PPCA Investigations (Hospitals) | PPCA Investigations (LTC) | Unfounded Cases of Abuse | Founded Cases of Abuse | Decision not yet determined |
|---------|-----------------------------|----------------------------------|----------------------------|-----------------------------------|---------------------------------------|---------------------------------|--------------------------------|------------------------------|-----------------------------------|
| Apr-Jun | 122 | 39 | 83 | 10 | 1 | 9 | 3 | 6 | 1 |
| Jul-Sep | 115 | 24 | 91 | 11 | 0 | 11 | 4 | 2 | 5 |
| Oct-Dec | 102 | 22 | 80 | 16 | 0 | 16 | 0 | 2 | 14 |
| Jan-Mar | | | | | | | | | |
| Total | 339 | 85 | 254 | 37 | 1 | 36 | 7 | 10 | 20 |

Fiscal Year 2021-22 Quarterly Statistics (Investigations by nature of alleged abuse*)

| | 3(1)(a) | 3(1)(b) | 3(1)(c) | 3(1)(d) | 3(1)(e) | 3(1)(f) | 3(1)(g) |
|----------------|---------|---------|---------|---------|---------|---------|---------|
| Q1 (Apr-Jun) | 5 | 1 | 0 | 0 | 1 | 0 | 3 |
| Q2 (Jul - Sep) | 3 | 3 | 0 | 0 | 5 | 0 | 0 |
| Q3 (Oct - Dec) | 5 | 0 | 0 | 0 | 4 | 0 | 7 |
| Q4 (Jan - Mar) | | | | | | | |
| Total | 13 | 4 | 0 | 0 | 10 | 0 | 10 |

^{*3(1)(}a) the use of physical force resulting in pain, discomfort or injury, including slapping, hitting, beating, burning, rough handling, tying up or binding;

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³⁽¹⁾⁽b) mistreatment causing emotional harm, including threatening, intimidating, humiliating, harassing, coercing or restricting from appropriate social contact;

³⁽¹⁾⁽c) the administration, withholding or prescribing of medication for inappropriate purposes;

³⁽¹⁾⁽d) sexual contact, activity or behaviour between a service provider and a patient or resident;

³⁽¹⁾⁽e) non-consensual sexual contact, activity or behaviour between patients or residents;

³⁽¹⁾⁽f) the misappropriation or improper or illegal conversion of money or other valuable possessions;

³⁽¹⁾⁽g) failure to provide adequate nutrition, care, medical attention or necessities of life without valid consent.



Protection for Persons in Care Investigation Summary

The following tables present a summary of investigations pursuant to section 8(2) of the *Protection for Persons in Care Act*. The summary includes the facility name, the nature of abuse investigated, whether the investigation was founded, unfounded, or a decision is yet to be determined, and whether directives were issued to the facility.

This summary is for information purposes only and represents findings regarding a specific incident at a particular point in time. The summary should not be used to form conclusions about the current or future quality of care offered by the identified health care facility.

To protect the privacy of residents, the names of facilities with 5 or less patients or residents have been redacted and replaced with "5 or less".

Table 1: 2020-21 Investigations

(Total = 51; Unfounded = 20; Founded = 31; Decision yet to be determined (TBD) = 0)

| Facility | Nature | Decision | Directives | # of Directives |
|--------------------------------------|-----------------|-----------|------------|--------------------|
| Arborstone Enhanced Care | Section 3(1)(g) | Founded | Yes | 4 |
| Arbourstone Enhanced Care | Section 3(1)(g) | Founded | Yes | 4 |
| Bay Side Home | Section 3(1)(e) | Founded | Yes | 5 |
| Cape Breton Regional | Section 3(1)(g) | Founded | Yes | 5 |
| Cape Breton Regional | Section 3(1)(a) | Founded | Yes | 6 |
| Dartmouth General Hospital | Section 3(1)(e) | Unfounded | Yes | 1 |
| Dykeland Lodge | Section 3(1)(d) | Founded | No | 0 |
| East Cumberland Lodge | Section 3(1)(a) | Unfounded | Yes | 3 |
| Grand View Manor | Section 3(1)(b) | Unfounded | Yes | 4 |
| Grand View Manor | Section 3(1)(a) | Founded | Yes | 7 |
| Harbourstone Enhanced Care | Section 3(1)(b) | Unfounded | Yes | 1 |
| Nakile Home for Special Care | Section 3(1)(b) | Founded | Yes | 6 |
| North Queens | Section 3(1)(a) | Unfounded | Yes | 4 |
| Northwood Bedford West | Section 3(1)(b) | Unfounded | Yes | 1 |
| Northwoodcare Incorporated | Section 3(1)(b) | Founded | Yes | 3 |
| Oakwood Terrace | Section 3(1)(b) | Unfounded | Yes | 1 |
| Oakwood Terrace | Section 3(1)(b) | Unfounded | Yes | 2 |
| Oakwood Terrace | Section 3(1)(g) | Unfounded | Yes | 5 |
| Ocean View Continuing Care Centre | Section 3(1)(e) | Founded | Yes | 3 |
| Ocean View Continuing Care Centre | Section 3(1)(g) | Founded | Yes | 8 |
| Ocean View Continuing Care Centre | Section 3(1)(a) | Founded | Yes | 4 |
| Ocean View Continuing Care Centre | Section 3(1)(e) | Founded | Yes | 1 |
| Ocean View Continuing Care Centre | Section 3(1)(e) | Founded | Yes | 3 |
| Ocean View Continuing Care Centre | Section 3(1)(g) | Founded | Yes | 4 |
| Ocean View Continuing Care Centre | Section 3(1)(g) | Founded | Yes | 4 |
| Parkland at the Lakes - Glasgow Hall | Section 3(1)(e) | Founded | No | 0 |

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| QEII | Section 3(1)(d) | Founded | Yes | 2 |
|----------------------------------|-----------------|-----------|-----|---|
| QEII | Section 3(1)(e) | Founded | No | 0 |
| QEII | Section 3(1)(a) | Unfounded | Yes | 2 |
| Richmond Villa | Section 3(1)(b) | Founded | Yes | 3 |
| Roseway Hospital | Section 3(1)(a) | Unfounded | Yes | 2 |
| Saint Martha's Regional Hospital | Section 3(1)(a) | Founded | Yes | 6 |
| Seaview Manor | Section 3(1)(a) | Founded | Yes | 1 |
| Seaview Manor | Section 3(1)(b) | Unfounded | Yes | 1 |
| Seaview Manor | Section 3(1)(g) | Unfounded | Yes | 2 |
| Seaview Manor | Section 3(1)(b) | Unfounded | Yes | 2 |
| Soldiers Memorial Hospital | Section 3(1)(a) | Founded | Yes | 2 |
| South Shore Regional Hospital | Section 3(1)(a) | Unfounded | Yes | 4 |
| South Shore Regional Hospital | Section 3(1)(b) | Unfounded | Yes | 3 |
| The Cove Guest Home | Section 3(1)(a) | Unfounded | Yes | 3 |
| The Magnolia | Section 3(1)(e) | Founded | No | 0 |
| The Mira | Section 3(1)(a) | Founded | Yes | 2 |
| Tidal View Manor | Section 3(1)(b) | Founded | Yes | 5 |
| Tidal View Manor | Section 3(1)(e) | Founded | Yes | 4 |
| Tideview Terrace | Section 3(1)(b) | Unfounded | Yes | 1 |
| Valley View Villa | Section 3(1)(e) | Founded | Yes | 4 |
| Valley View Villa | Section 3(1)(d) | Unfounded | Yes | 2 |
| Valley View Villa | Section 3(1)(e) | Unfounded | Yes | 2 |
| Valley View Villa | Section 3(1)(a) | Founded | Yes | 2 |
| Villa Saint-Joseph du Lac | Section 3(1)(f) | Founded | Yes | 2 |
| Villa Saint-Joseph du Lac | Section 3(1)(g) | Founded | Yes | 3 |

Table 2: 2021-22 Investigations (April – December)

(Total = 37; Unfounded = 7; Founded = 10; Decision yet to be determined (TBD) = 20)

| Facility | Nature | Decision | Directives | # of Directives |
|------------------------------|-----------------|-----------|------------|--------------------|
| Annapolis Royal Nursing Home | Section 3(1)(e) | Founded | Yes | 3 |
| Annapolis Royal Nursing Home | Section 3(1)(e) | TBD | TBD | TBD |
| Arborstone Enhanced Care | Section 3(1)(g) | TBD | TBD | TBD |
| Arborstone Enhanced Care | Section 3(1)(g) | TBD | TBD | TBD |
| Blomidon Court | Section 3(1)(a) | TBD | TBD | TBD |
| Cedarstone | Section 3(1)(g) | TBD | TBD | TBD |
| Dartmouth General Hospital | Section 3(1)(a) | Unfounded | Yes | 4 |
| Dykeland Lodge | Section 3(1)(g) | Founded | Yes | 4 |
| Dykeland Lodge | Section 3(1)(a) | TBD | TBD | TBD |
| Evergreen Home | Section 3(1)(e) | Founded | Yes | 2 |
| Grand View Manor | Section 3(1)(a) | TBD | TBD | TBD |
| Harbour View Facility | Section 3(1)(b) | Founded | Yes | 2 |

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| Facility | Nature | Decision | Directives | # of Directives |
|------------------------------------|-----------------|-----------|------------|--------------------|
| Harbourstone Enhanced Care Ltd | Section 3(1)(a) | Founded | Yes | 1 |
| Harbourstone Enhanced Care Ltd | Section 3(1)(g) | Unfounded | Yes | 3 |
| Harbourstone Enhanced Care Ltd | Section 3(1)(a) | TBD | TBD | TBD |
| Harbourview Haven | Section 3(1)(a) | Founded | No | 0 |
| Harbourview Lodge | Section 3(1)(e) | TBD | TBD | TBD |
| Ivy Meadows Continuing Care Centre | Section 3(1)(a) | Unfounded | Yes | 3 |
| Magnolia | Section 3(1)(g) | TBD | TBD | TBD |
| Melville Lodge | Section 3(1)(a) | TBD | TBD | TBD |
| Melville Lodge | Section 3(1)(e) | TBD | TBD | TBD |
| Melville Lodge | Section 3(1)(e) | TBD | TBD | TBD |
| Melville Lodge | Section 3(1)(e) | TBD | TBD | TBD |
| Mira, The | Section 3(1)(e) | Founded | Yes | 4 |
| Northwoodcare Incorporated | Section 3(1)(e) | Unfounded | Yes | 4 |
| Oakwood Terrace | Section 3(1)(a) | TBD | TBD | TBD |
| Parkstone Enhanced Care Ltd. | Section 3(1)(a) | Founded | No | 0 |
| Peter's Place | Section 3(1)(b) | Unfounded | Yes | 3 |
| Port Hawesbury NH | Section 3(1)(a) | Founded | Yes | 4 |
| Port Hawesbury NH | Section 3(1)(g) | Founded | Yes | 5 |
| Saint Vincent's Nursing Home | Section 3(1)(e) | TBD | TBD | TBD |
| Taigh Na Mara | Section 3(1)(b) | Unfounded | Yes | 3 |
| The Admiral | Section 3(1)(a) | TBD | TBD | TBD |
| The Birches | Section 3(1)(g) | TBD | TBD | TBD |
| Valley View Villa | Section 3(1)(g) | TBD | TBD | TBD |
| Valley View Villa | Section 3(1)(g) | TBD | TBD | TBD |
| Villa Acadienne | Section 3(1)(b) | Unfounded | Yes | 3 |

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